

# The Design and Implementation of a Safe and Lightweight Haskell Compiler

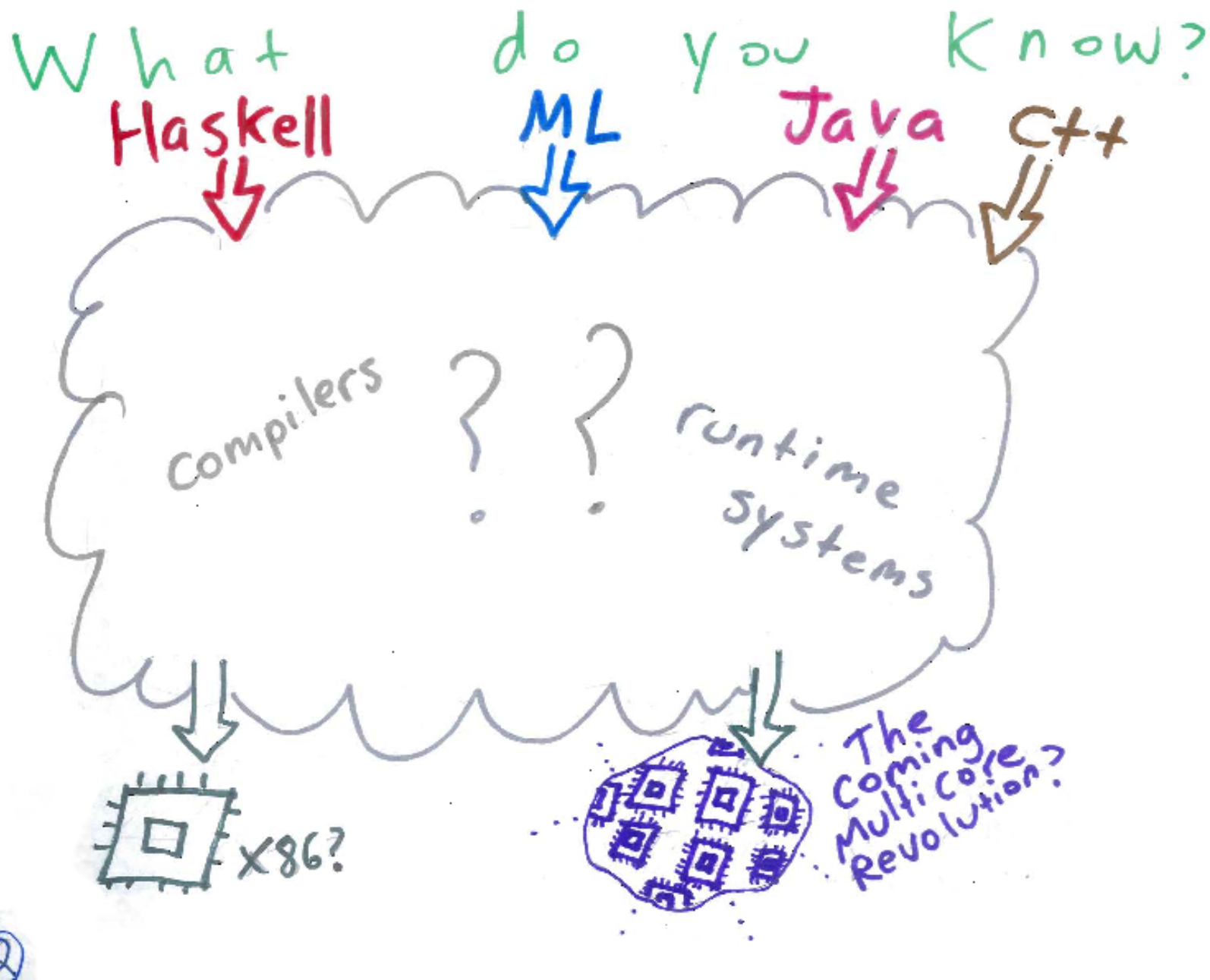
Tim Chevalier

Spring 2009 RPE

Advisor: Andrew Tolmach

What do you know about  
your compiler?

How do you know it?



# The Problem

How to:

Ensure the correctness of the  
Compiler-garbage collector interface

with a strong static guarantee

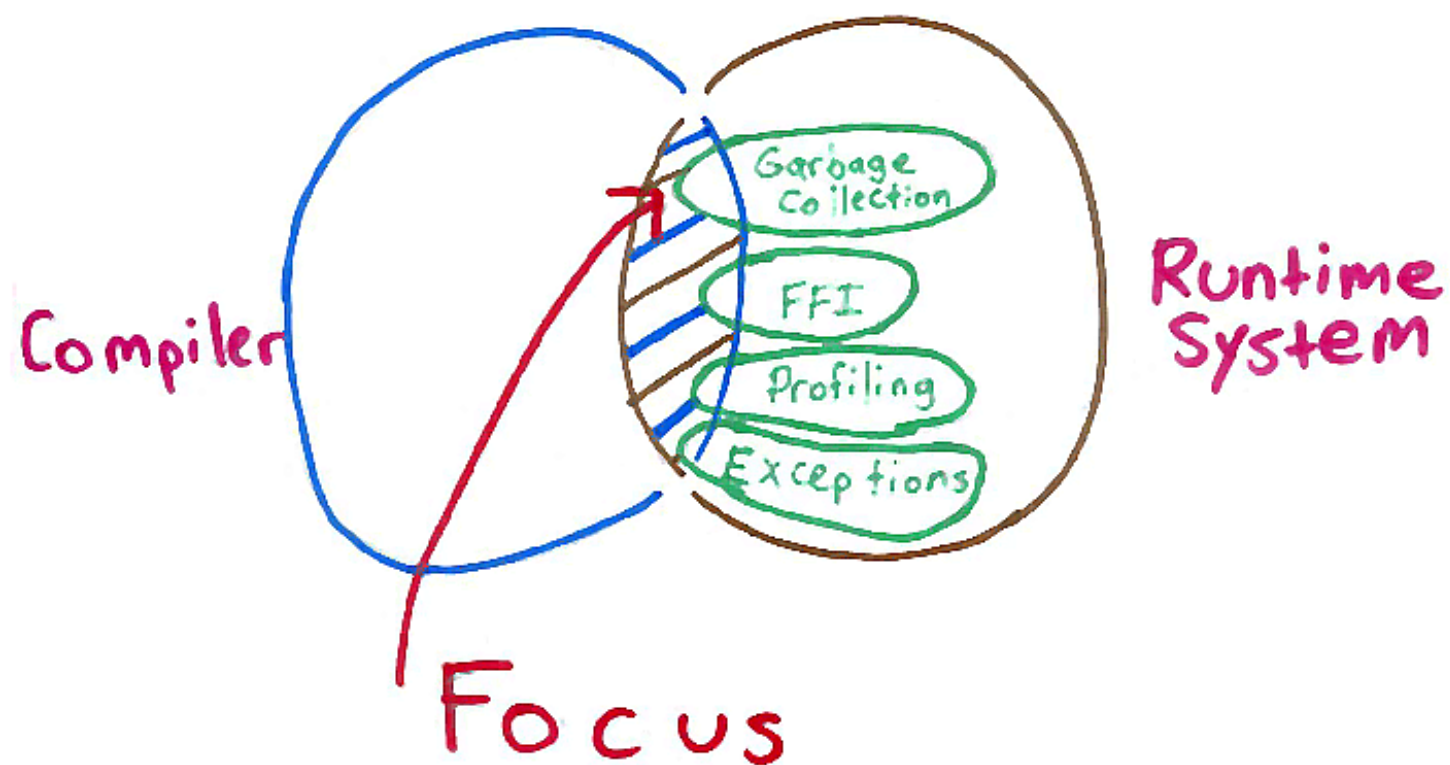
With low implementation effort

With good\* performance?

# My Contributions

- Designed a simple and lightweight safety-preserving compiler for Haskell
- Formulated the safety property as a simple type system
- Guaranteed safety through a combination of static and dynamic checks
- Measured performance

# The Problem







What could  
possibly go wrong?

## Mozilla Foundation Security Advisory 2009-13

---

**Title:** Arbitrary code execution via XUL tree element  
**Impact:** Critical  
**Announced:** March 27, 2009  
**Reporter:** Nils  
**Products:** Firefox

**Fixed in:** Firefox 3.0.8

### Description

---

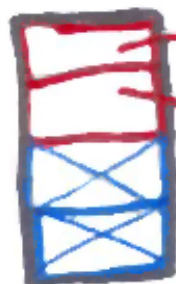
Security researcher **Nils** reported via TippingPoint's Zero Day Initiative that the XUL tree method `_moveToEdgeShift` was in some cases triggering garbage collection routines on objects which were still in use. In such cases, the browser would crash when attempting to access a previously destroyed object and this crash could be used by an attacker to run arbitrary code on a victim's computer.

Note: This vulnerability was used by the reporter to win the 2009 CanSecWest Pwn2Own contest.

# Tracing Garbage Collection

Root set

Stack



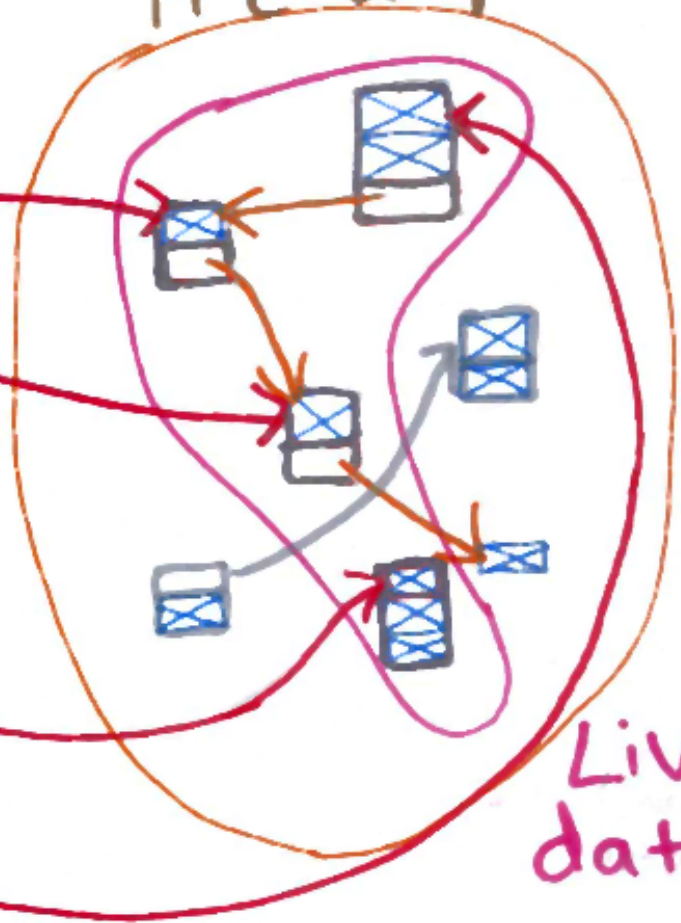
Registers



Globals



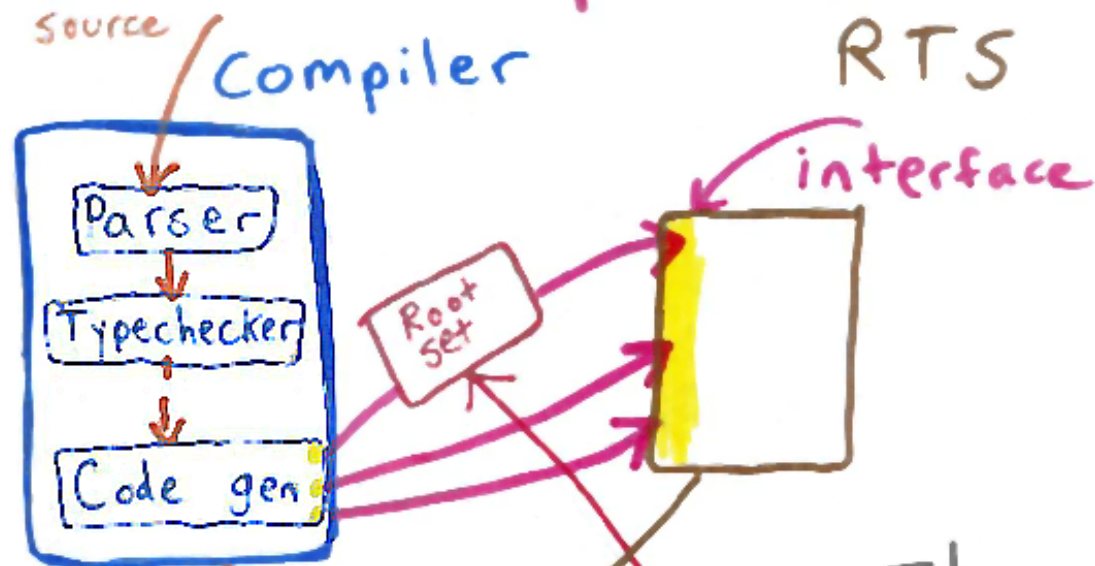
Heap



Live data



# The Compiler-GC Interface



The Problem:

guarantee the  
correctness of  
this

in Executable code

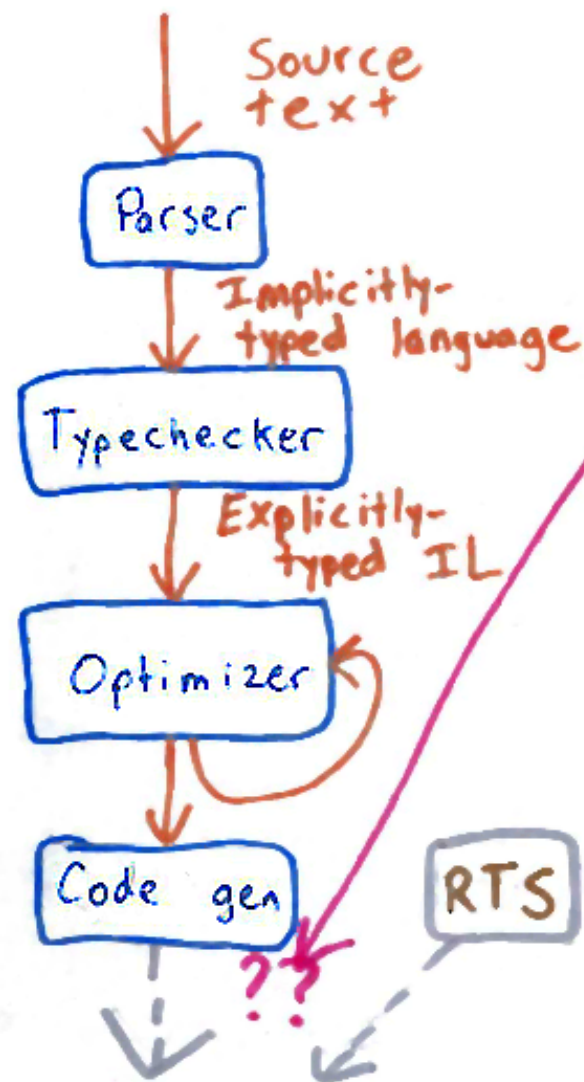
# The Goal

Give a guarantee statically  
that programs  
pass root sets correctly

BUT

Doesn't a typed language  
do that already?

# No!



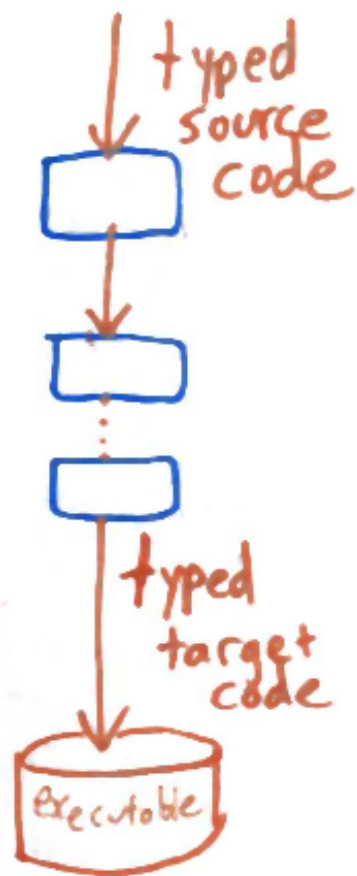
Mainstream compilers  
discard types at  
some point

Runtime system is  
untyped code

Source type system  
does not prevent  
compiler or RTS bugs

# A Stronger guarantee: type-preserving compilation

Morrisett et al., 1999



Prove that the compiler  
maps well-typed programs  
onto well-typed programs

Can typecheck generated code  
Smaller trusted  
computing base

Compiler  
Source  
code

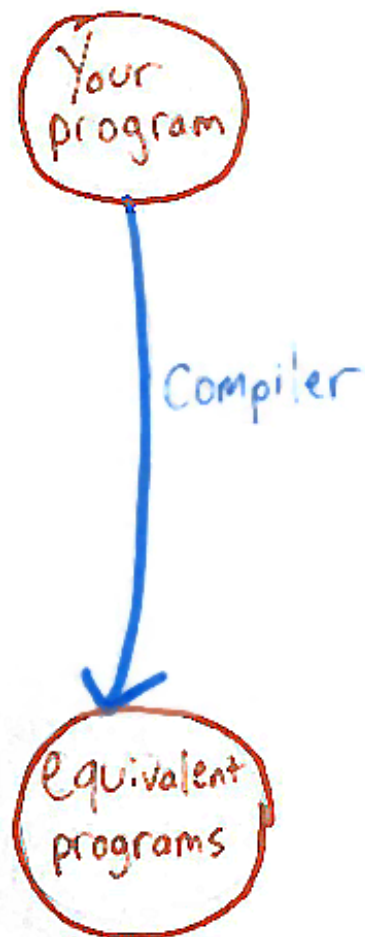
vs.

type checker

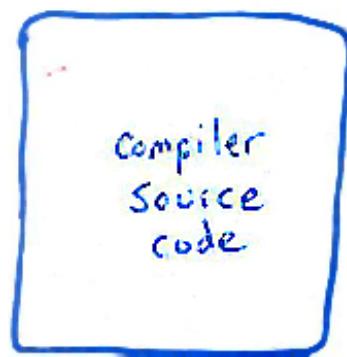
type soundness proof  
type preservation proof

# Still stronger guarantees: Semantics-preserving compilation

Leroy, 2006



- Prove that the compiler maps any program onto one that means the same thing
- With machine-checked proof, the trusted computing base is even smaller



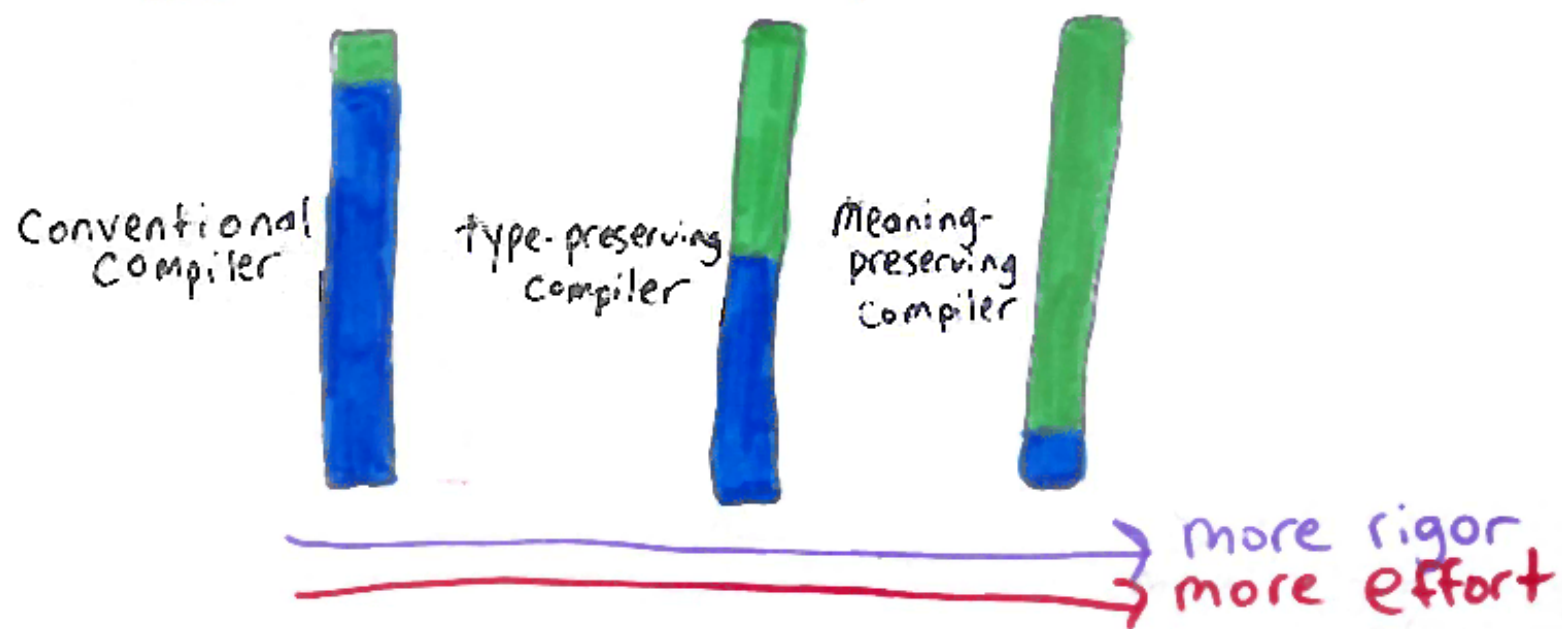
vs.





# The Problem

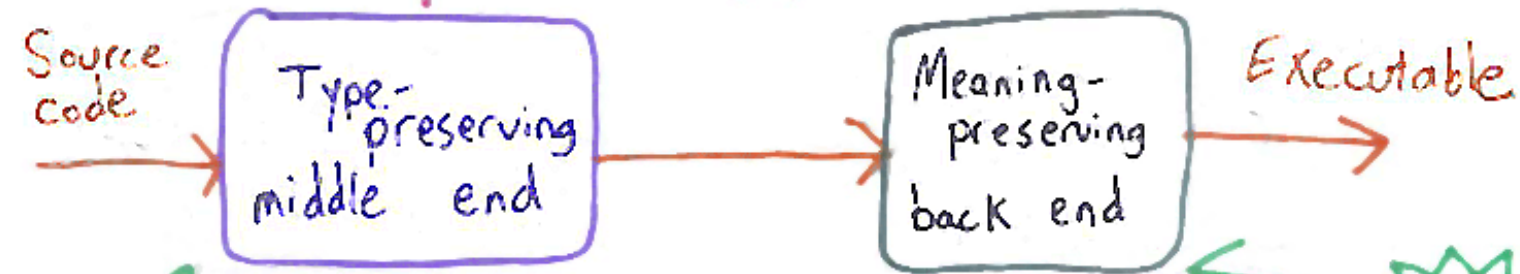
We want to prove a property about the compiler...



... While trading off between rigor & effort



# My Approach

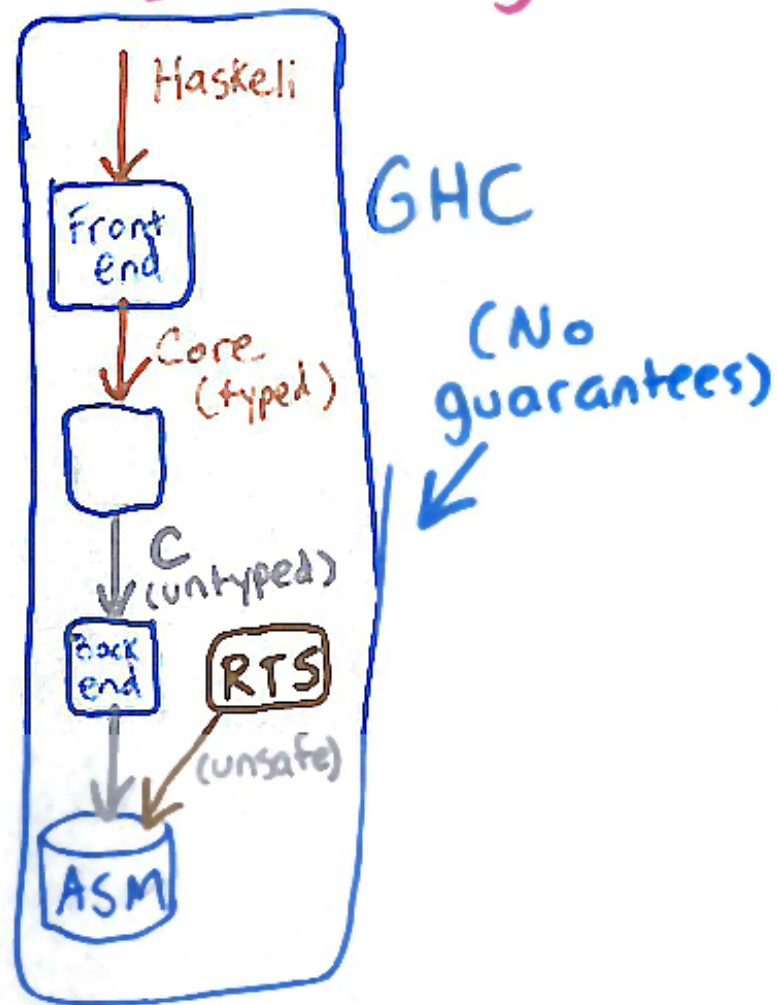


Type preservation provides  
a **strong guarantee**

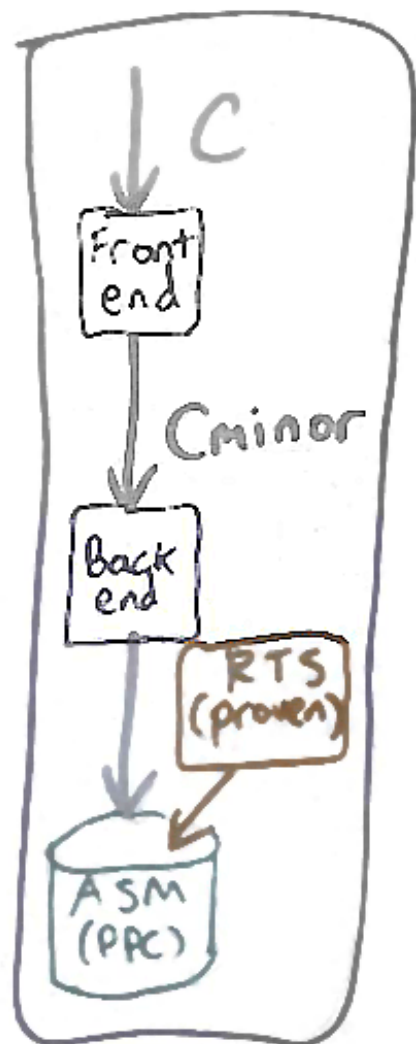
that well-typed code doesn't make  
the GC go wrong

Meaning preservation ensures  
that the guarantee about **source code**  
applies to **executable code**

# Existing Tools



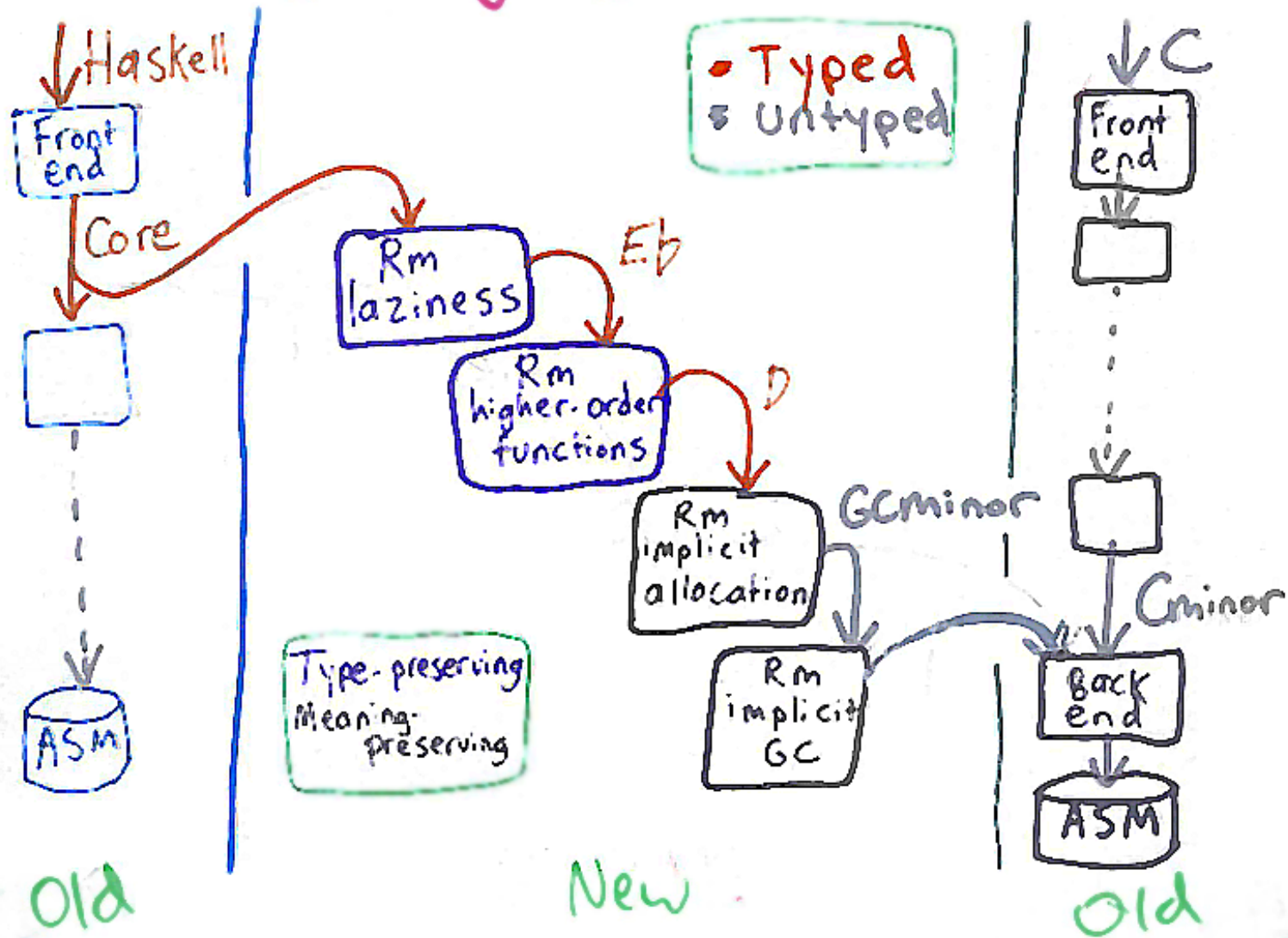
Compcert  
(strong guarantee)



Peyton Jones, 1996

Leroy, 2006

# Bridging the gap



Core vs.

Cminor

function types  
algebraic data types  
recursive types  
polymorphic types  
coercion types  
primitive types

integers  
floats

lazy  
evaluation

Strict  
evaluation

# A minimal type system

Core

Atomic type	aty	→	tyvar qtyvar ( ty )
Basic type	btg	→	aty btg aty lbase aty aty ltop aty lunsafe aty aty lleft aty lright aty liset aty aty
Type	ty	→	btg lforall ( kind ) " ty btg → ty
Atomic kind	akind	→	• • • btg → btg ( kind )

Translation  
discards  
other type  
information

Eb

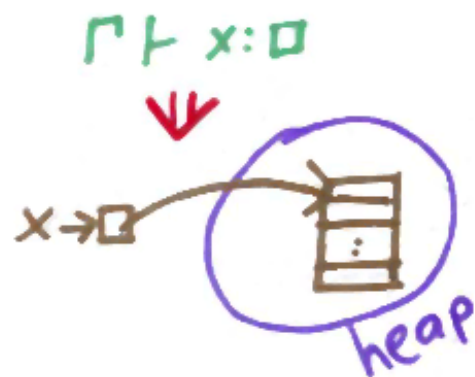
$\tau \rightarrow \text{Int}$   
|  $\square$

Just enough  
information to  
check the  
desired property



# What does the type system guarantee?

- Any variable that represents a previously allocated pointer into the heap has type  $\square$
- Any other variable has type  $\text{Int}$

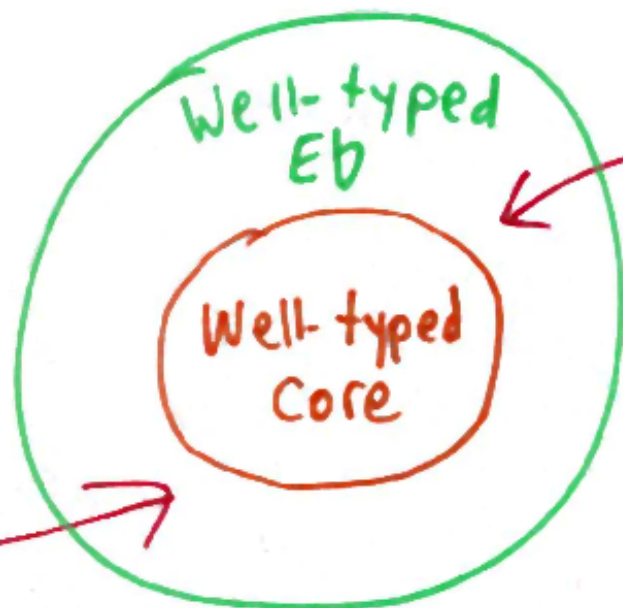


# What can the compiler promise?

- Whenever the program calls the allocator,
  - every live **pointer** variable is passed as a root
  - no live **integer** variable is passed as a root



# Well-typed programs



What do these programs mean?  
They raise an exception.

Core's type system  
rejects these statically

We reject them dynamically  
by doing runtime checks.

# Well-typed Eb code

Callee:

$f = \lambda_{\rightarrow \text{Int}} \boxed{g: \square} \boxed{x: \text{Int}}$

$\dots g_{\text{Int} \rightarrow \square} x \dots$

- This code  
typechecks  
(statically)

- and runs  
without raising  
an exception  
(dynamically)

Caller:

$f_{\square \rightarrow \text{Int} \rightarrow \text{Int}} \boxed{(\lambda_{\rightarrow \square} (x: \text{Int}) (I x))} \boxed{37}$   
 $\square \quad \text{Int}$

## Ill-typed Eb code

callee:

$f = \lambda_{\text{Int}} (g: \square) (x: \text{Int}).$

$\dots g_{\text{Int} \rightarrow \square} x \dots$

caller:

$f_{\square \rightarrow \text{Int} \rightarrow \text{Int}} \boxed{37} (\lambda_{\square} (x: \text{Int}). (I x))$   
Int

• This code  
fails to  
typecheck  
(statically)

# Well-typed Eb code?

callee:

$f = \lambda \rightarrow \text{Int} \ (g: \square) \ (x: \text{Int}).$

$\dots g_{\text{Int} \rightarrow \square} \ x \dots$

This code  
typechecks  
(statically)

caller:

$f_{\square \rightarrow \text{Int} \rightarrow \text{Int}} \ (\lambda \rightarrow \text{Int} \ (x: \text{Int}). 42) \ 37$

but raises an  
exception  
(dynamically)

# The cost of checks

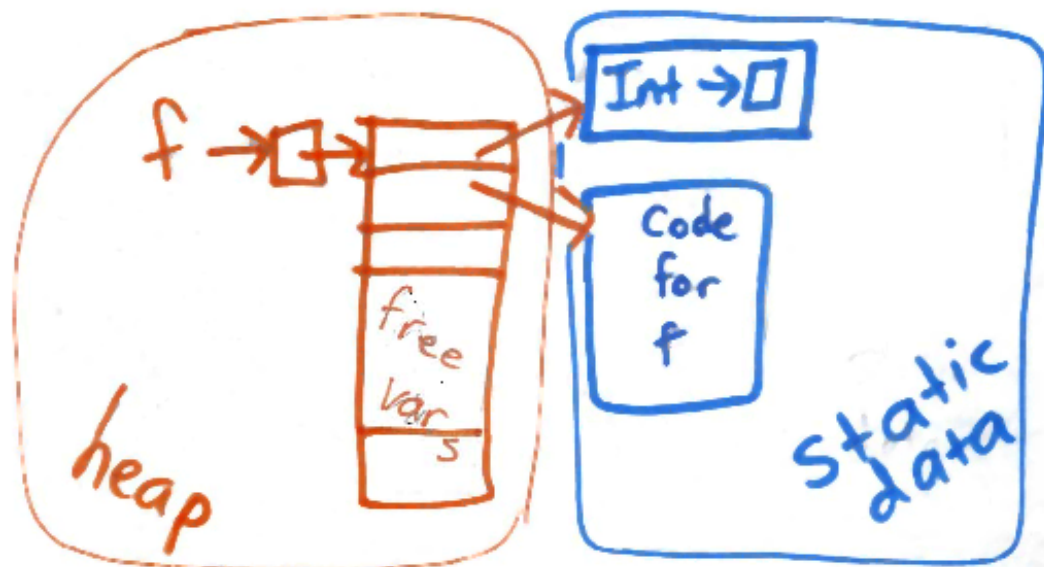
Ex

$f_{Int} \rightarrow \square \quad 0$

Cminor

Only if checks are enabled

```
if(37 == *((f-4)))  
    result = (*f)(0);  
else  
    type-error();
```



# Another Kind of check

Eb

Case p of

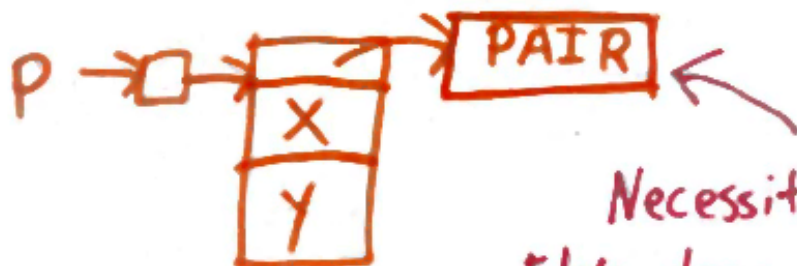
Pair (x:□) (y:□) →  
x

Cminor

```
if (42 == *((*(p-4)))
```

```
  x = *p;
```

```
else  
  type_error();
```



Necessitated by  
Eb's type system



# Correctness properties

• Every variable has a consistent type



# How we prove them

Formal static semantics for  $F, Eb, D$

Formal dynamic semantics for  $F, Eb, D$

Soundness of type systems with respect to dynamic semantics

Type preservation of translations

Formal dynamic semantics for  $D, GCminor$   
Semantic preservation of translation

Semantic preservation of translation

Not yet complete

• Translations from  $F \rightarrow Eb, Eb \rightarrow D$  don't change types



•  $D \rightarrow GCminor$  translation produces code that respects type distinctions (thus passing correct roots)



•  $GCminor \rightarrow Cminor$  translation doesn't change this property



# The cost of minimizing effort

Chose ten benchmark programs  
from the `nofib` suite for Haskell

26-500 LOC

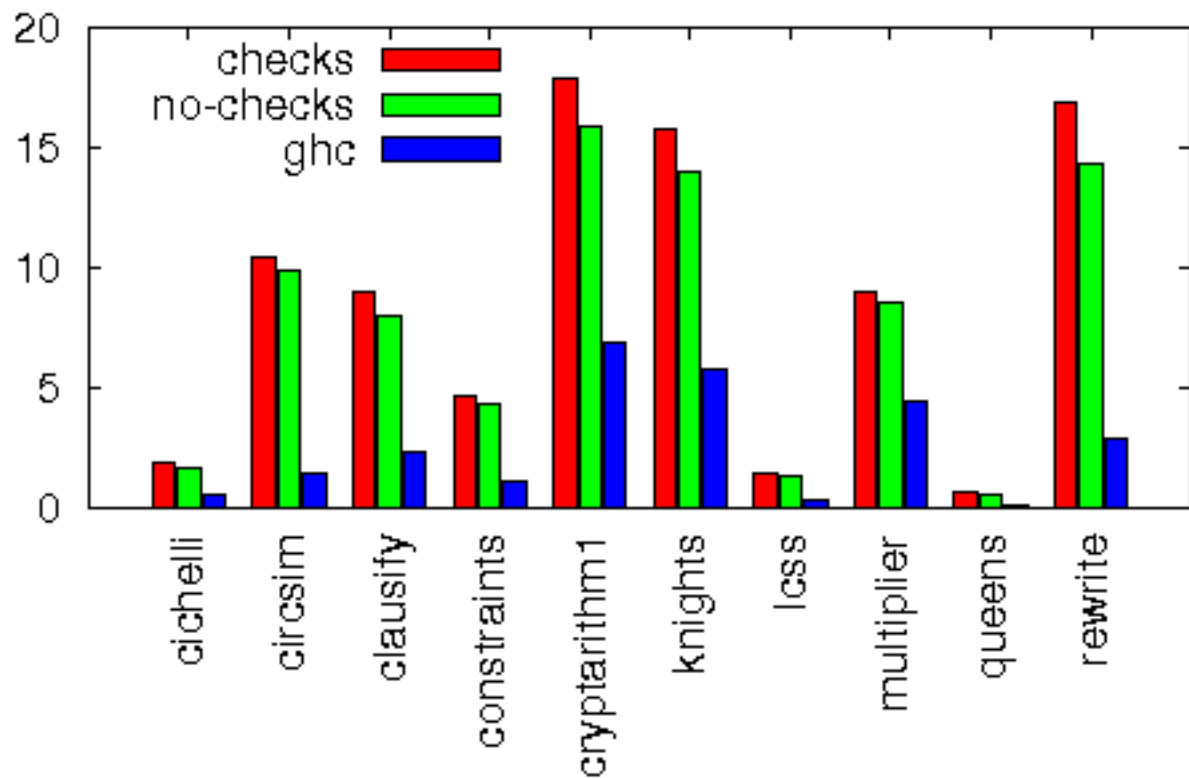
Partain, 1992

Overall running time:

avg. 4x slower than GHC

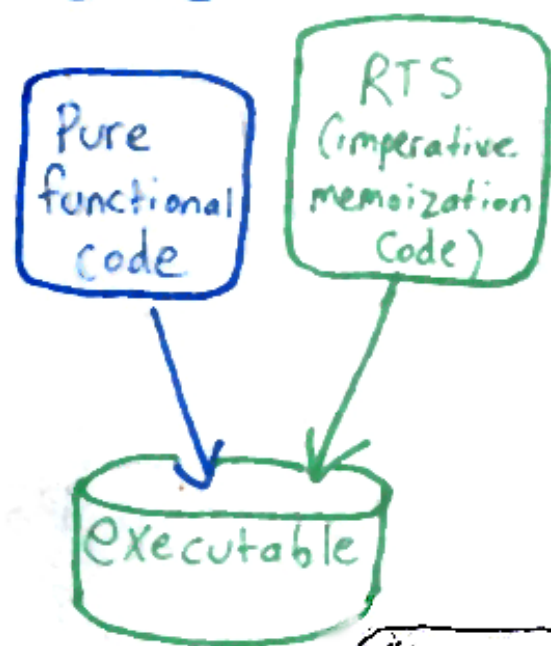
Programs with checks run  
5-18% slower than programs  
with checks omitted

Running times (in seconds)

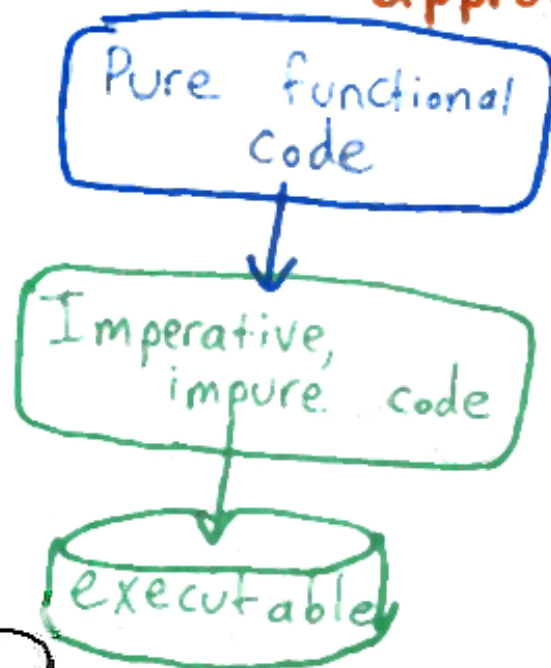


# A source of overhead: Compiling laziness

GHC



My approach\*



\* See: Boqvist & Johansson, 1996  
Faxén, 1997

# My Contributions

- Showed that, with low implementation effort, a compiler can give a strong static guarantee that code uses the GC correctly.
- Measured the cost of providing the guarantee through a combination of static and dynamic checks.

# Conclusions

- More work is needed to determine how much overhead is inherent to the task of increasing safety, and how much is due to naïve implementation.
- My results provide a preliminary suggestion that increasing confidence costs no more than disabling optimization.



# Thanks to:

Ki Yung Ahn · Iavor Diatchki · AKshay Dua.

Rafael J. Fernández-Moctezuma · Tom Harke ·

Jim Hook · Phil Howard · Mark Jones.

Rashawn Knapp · Chuan-Kai Lin ·

Ralph London · Andrew McCreight.

Phillip Sitbon · Andrew Tolmach

Paper: <http://cs.pdx.edu/~tjc/tjc-rpe.pdf>